



311 S.Wacker Drive Suite 650
Chicago, IL 60606
312.253.0376
www.aosholding.com
service@aosholding.com

OFFICE USE ONLY	
Account Title	Account Number

21ST Century Trading Advisory Service Authorization

Your 21 st Century Account Executive

Gentlemen:

I am a subscriber to an advisory service ("the Service") that offers suggestions on buying, selling, and entering transactions involving stocks and/or options and related strategies and is developed and presented by **21st Century ("21st Century")**. The specific 21st Century service(s) to which I have subscribed is (are) as follows (*check those that apply*):

Service Name	Per Trade Allocation <i>please select one of the following parameters</i> Max \$ amount, Max # of contracts/shares, % of total account value*
<i>AOS Example: Auto-Trade Service #1</i>	<i>AOS Example: 10%</i>

*% of account value based on previous business day closing prices, rounded up to the nearest \$100.00

21st Century from time to time provides me with written suggestions of an options transaction or a strategy, and I have instructed 21st Century to furnish AOS, Inc. with this same information to allow AOS, Inc. to better service my account. **AOS, Inc., and its agents and representatives, are hereby authorized to follow the recommendations and strategies of 21st Century and the services noted above as it relates to entering stock and/or options transactions and utilizing related strategies in my account, and in conjunction with, and subject to, the *Trading Authorization Limited to Purchases and Sales of Stocks, Mutual Funds, Options and/or Security Futures* submitted by me contemporaneously herewith. I understand that 21st Century is independent from and in no way affiliated with AOS, Inc., or AOS, Inc. agents or representatives.**

I understand that there are special risks associated with engaging in security futures and/or options transactions and that security futures and/or options can be volatile and could possibly subject me to a risk of total loss. I understand and acknowledge that security futures and/or options are suitable only for knowledgeable investors who understand the risks inherent in such securities, have the financial capacity and willingness to incur losses, and have sufficient liquid assets to meet applicable margin requirements. I have received and read the booklet entitled **Characteristics and Risks of Standardized Options** and/or the booklet entitled **Risk Disclosure Statement for Security Futures Contracts** in advance of having any securities futures and/or options transactions entered in my account and I have paid particular attention, in the case of options, to the chapter entitled "Risks of Buying and Writing Options.", and in the case of security futures, to the chapter entitled "Risks of Security Futures." I understand that these statements are not intended to enumerate all of the risks involved in options and/or security futures.

The undersigned hereby agrees to indemnify and hold harmless AOS, Inc. and its agents and representatives, from, and to pay AOS, Inc. and its agents and representatives, promptly on demand, any and all losses, costs or expenses incurred in connection with the use of the Service as it relates to transactions in the undersigned's account. This Authorization (including this indemnity provision) is in addition to (and in no way limits or restricts) any rights which AOS, Inc. may have under any other agreement(s) with me. **This Authorization (including the indemnity provision) is a continuing one which shall remain in full force and effect until revoked by the undersigned by a written notice received at the AOS, Inc. office at 311 S. Wacker Dr., Suite 650, Chicago, Illinois 60606, or until AOS, Inc. receives actual notice of the death of the undersigned (or if two customers sign, the death of either one), and shall inure to the benefits of AOS, Inc. and any successor firm or firms, and the assigns of AOS, Inc. and any successor firm or firms. The terms of this Authorization shall be governed by the laws of the State of Illinois.**

Dated: _____

X _____
(Customer's Signature)

X _____
(Joint Party's Signature)

X _____
(Print Customer Name)

X _____
(Print Joint Party Name)



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Trading Authorization Limited to Purchases and Sales of Stocks, Mutual Funds, Options and/or Security Futures

Gentlemen:

The Investment Advisory Service(s) to which I/We subscribe from time to time provides written recommendations as to stock and/or mutual funds and/or options and/or security futures transactions or strategies, and said Investment Advisory Service(s) has been instructed to furnish AOS, Inc. with this same information to allow AOS, Inc. to better service my/our account.

ACCORDINGLY, the undersigned hereby authorizes AOS, Inc.

as the undersigned's agent and attorney-in-fact (the "Agent") with full power and authority on the undersigned's behalf to buy, sell, (including short-sales) and trade in stocks, and/or mutual funds and/or options (including uncovered option writing), and/or security futures, and any contracts relating to the same, on margin or otherwise, and to enter into transactions in accordance with your terms and conditions, for the undersigned's account(s) and risk, and in the undersigned's name or number on the books of AOS, Inc. and/or Terra Nova Financial, L.L.C. ("TNF"), and/or Rosenthal Collins Group, LLC ("RCG"), and/or R.J. O'Brien ("RJO") whichever is applicable, **pursuant to the aforementioned Investment Advisory Service's written suggestions, and my/our specific instructions as contained in the attached "Trading Advisory Service Authorization"**. If more than one Agent is designated, the undersigned authorizes each Agent to act severally; that is, each Agent alone shall be able to exercise the powers conferred hereby.

This Authorization grants authority to the above-designated Agent(s) to act on my/our behalf solely pursuant to my/our Investment Advisory Service's written suggestions, and the terms of the attached "Trading Advisory Service Authorization". This Authorization does not grant the above-designated Agent(s) full discretionary authority to trade in my/our account. This Authorization expressly revokes and replaces any existing trading authorizations previously executed by me/us in connection with our account.

In all such purchases, sales, or transactions, AOS, Inc., and/or TNF, and/or RCG, and/or RJO are authorized to follow the instructions of the Agent in every respect concerning the undersigned's account(s) with AOS, Inc., and/or TNF, and/or RCG, and/or RJO. The Agent is authorized to act for the undersigned and in the undersigned's behalf, in the same manner and with the same force and effect as the undersigned, with respect to such purchases, sales, or transactions in the account(s).

The undersigned hereby agrees to indemnify and hold harmless AOS, Inc., and/or TNF, and/or RCG, and/or RJO and their agents and representatives, from, and to pay AOS, Inc., and/or TNF, and/or RCG, and/or RJO and their agents and representatives, promptly on demand, any and all losses, cost or expenses incurred in connection with the use of this trading authorization, including any debit balance in the undersigned's account(s). This Authorization (including this indemnity provision) is in addition to (and in no way limits or restricts) any rights which AOS, Inc., and/or TNF, and/or RCG, and/or RJO may have under any other agreement(s) between the parties.

This Authorization (including the indemnity provision) is a continuing one which shall remain in full force and effect until revoked by the undersigned by written notice received at the AOS, Inc. office at 311 S. Wacker Dr., Suite 650, Chicago, Illinois 60606, or until AOS, Inc. receives actual notice of death of the undersigned (or if two customers sign, the death of either one), and shall inure to the benefit of AOS, Inc. and any successor firm or firms, and the assigns of AOS, Inc. or any successor firm or firms. The terms of the Authorization shall be governed by the laws of the State of Illinois.

The undersigned acknowledges that the Agent is a registered representative of AOS, Inc., and agrees that in acting or purporting to act under this Authorization, the Agent will be acting solely as my/our agent and not as an agent of AOS, Inc. and/or TNF, and/or RCG, and/or RJO.

Dated: _____

X _____
(Customer's Signature)

X _____
(Joint Party's Signature)

X _____
(Print Customer Name)

X _____
(Print Joint Party Name)

What You Should Know About Auto-Trading

- You, the client, control your account. We, AOS, Inc. will only follow your instructions accurately.
- Auto-Trade authorizes AOS, Inc. to follow the advisory service of your choice by using the Auto-Trade Authorization form, updating settings through the AOS Auto-Trade Manager, or providing AOS, Inc. with written authorization to update setting via, E-Mail, Fax, or Mail.
- Auto-Trading begins when your completed account application materials and Auto-Trade authorization forms have been received, an account number has been assigned, and account funds have been cleared.
- Auto-Trading will continue in your account indefinitely unless one or more of the following changes (A, B, C) are made via written correspondence, sent by Fax, Mail, or E-Mail OR unless you make the changes on your own using our online AOS Auto-Trade Manager:
 - A) A change of the dollar amount or number of contracts or percentage of account value assigned for each trade.
 - B) A change of the specific services (by proper name) to be Auto-Traded.
 - C) A change in the status of your Auto-Trading from active to inactive, or back to active from an inactive status.

PLEASE NOTE: no other party including the advisory service can start, stop, or change your Auto-Trade status! If you, and/or your advisor, agree to a change it will NOT take effect until you notify AOS in writing OR make those changes yourself through the online AOS Auto-Trade Manager.

AOS Auto-Trade Reports

- The Auto-Trade Reports you receive from AOS are informal courtesy notices only. The trades initiated through Auto-Trade will NOT be reflected in real-time. To ensure the fastest fill possible the Auto-Trade recommendations are executed in bulk orders, thus the informal report is to just let you know how many contracts/shares were bought or sold per your investment allocation and your advisory recommendations. Your account will display the positions from the Auto-Trade report the following day when the Auto-Trade positions are uploaded.
- The courtesy Auto-Trade Report will be sent once the recommended trade has executed, filled, and the ticket has been processed. Do not be alarmed if you do not receive the Auto-Trade report immediately after a recommendation has been issued.
- The only trades that will be reported in your account in real time are trades that you execute yourself via the AOS web platform, or by placing trades through a broker by calling the AOS Trade Desk 1-800-591-8243.

PLEASE CONTACT AOS: if you need assistance with your AOS account, Auto-Trade settings, or have any questions regarding AOS policies and procedures. Please send all customer service related questions to service@aosholding.com, You may also speak with a customer service representative Monday-Friday 8am to 5pm CST at 312.253.0376.